

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/085,839
				Filing Date	February 25, 2002
				First Named Inventor	Michael A. Kozuch
				Art Unit	2131
				Examiner Name	Gail O. Hayes
				Attorney Docket Number	42390P10794
Sheet	1	of	1		

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Examiner Signature	<i>Jan K. Ha</i>	Date Considered	2-14-06
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Based on PTD/SE/08A (08-03) as modified by Blakeley, Solokoff, Taylor & Zelman (wtr) 04/11/2003

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
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Title of Invention	Method and Apparatus for Loading a Trustable Operating System																																																																								
<p>Application Number: 10/085839</p> <p>Confirmation Number: 1747</p> <p>First Named Applicant: Michael Kozuch</p> <p>Attorney Docket Number: 42P10794</p> <p>Art Unit: Unknown</p> <p>Examiner: Unknown Unknown</p> <p>Search string: ( 20010027511 or 20020007456 or 20020023032 or 20020147916 or 20020166061 or 20020169717 or 20030074548 or 20030115453 or 20030126442 or 20030126453 or 20030159056 or 20030196085 or 3699532 or 4207609 or 4319233 or 4403283 or 4419724 or 5237616 or 5287363 or 5560013 or 5604805 or 5633929 or 5668971 or 5684948 or 5706469 or 5740178 or 5752046 or 5809546 or 5825880 or 5919257 or 5935242 or 5935247 or 6035374 or 6093213 or 6108644 or 6131166 or 6199152 or 6327652 or 6397379 or 6529909 or 6560627 or 6609199 or 6615278 or 6651171 or 6678825 or 6684326 ).pn.</p> <p><b>RECEIVED</b> JUL 06 2004 Technology Center 2100</p>																																																																									
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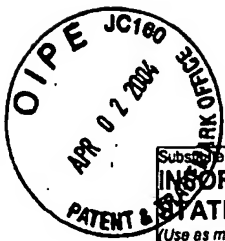
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Signature

Examiner Name	Date
<i>G. R. Doe</i>	02-14-06



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Group Art Unit	Unknown
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Sheet 1 of 1

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**US PATENT DOCUMENTS**

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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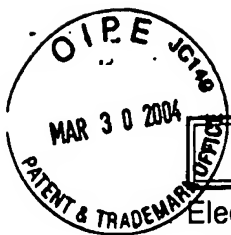
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*Gan K. Ye*

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Signature

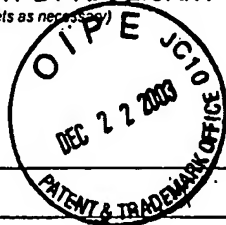
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	EP-EP0473913	03/11/1992	Farrell, Joel A.			abs

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

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<div>Application Number: 10/085839</div> <div>Confirmation Number: 1747</div> <div>First Named Applicant: Michael Kozuch</div> <div>Attorney Docket Number: 42P10794</div> <div>Art Unit: Unknown</div> <div>Examiner: Unknown Unknown</div> <div>Search string: ( 20030018892 or 4319323 or 4759064 or 4795893 or 5007082 or 5293424 or 5317705 or 5459867 or 5504922 or 5606617 or 5901225 or 5970147 or 6061794 or 6092095 or 6115816 or 6212635 or 6222923 or 6252650 or 6269392 or 6330670 or 6357004 or 6363485 or 6374286 or 6378072 or 6389537 or 6412035 or 6421702 or 6445797 or 6463535 or 6463537 or 6507904 or 6535988 or 6557104 or 6633963 or</div> <div>US Patent Documents</div> <div>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</div> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>546</td><td>1</td><td>20030018892</td><td>2003-01-23</td><td>Tello, Jose</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>4319323</td><td>1982-03-09</td><td>Ermolovich, Thomas R., et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>4759064</td><td>1988-07-19</td><td>Chaum,</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>4795893</td><td>1989-01-03</td><td>Ugon, Michael</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>5007082</td><td>1991-04-09</td><td>Cummins, Mary T.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>5293424</td><td>1994-03-08</td><td>Holley, Thomas O., et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>5317705</td><td>1994-05-31</td><td>Gannon, Patrick M., et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>5459867</td><td>1995-10-17</td><td>Adams, Phillip M., et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>5504922</td><td>1996-04-02</td><td>Seki, Yukihiro , et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>5606617</td><td>1997-02-25</td><td>Brands, Stefanus A.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>5901225</td><td>1999-05-04</td><td>Ireton, Mark A., et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>12</td><td>5970147</td><td>1999-10-19</td><td>Davis, Derek L., et al.</td><td></td><td></td><td></td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	546	1	20030018892	2003-01-23	Tello, Jose					2	4319323	1982-03-09	Ermolovich, Thomas R., et al.					3	4759064	1988-07-19	Chaum,					4	4795893	1989-01-03	Ugon, Michael					5	5007082	1991-04-09	Cummins, Mary T.					6	5293424	1994-03-08	Holley, Thomas O., et al.					7	5317705	1994-05-31	Gannon, Patrick M., et al.					8	5459867	1995-10-17	Adams, Phillip M., et al.					9	5504922	1996-04-02	Seki, Yukihiro , et al.					10	5606617	1997-02-25	Brands, Stefanus A.					11	5901225	1999-05-04	Ireton, Mark A., et al.					12	5970147	1999-10-19	Davis, Derek L., et al.			
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Applicants respectfully request that the cited documents be considered and that the be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

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Examiner Name	Date
<i>James E. Dea</i>	02-14-06

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**(use as many sheets as necessary)**

Sheet	1	of	1
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**Complete if Known**

Application Number	10/085,839
Filing Date	February 25, 2002
First Named Inventor	Michael A. Kozuch
Art Unit	2131
Examiner Name	Gail O. Hayes
Attorney Docket Number	42390P10794

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date Considered	
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2-14-06

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\*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

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Examiner Signature	<i>G. J. Ma</i>	Date Considered	02-14-06
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature	<i>Jan K. Del</i>	Date Considered	2-14-06
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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Method and Apparatus for Loading a Trustable Operating System																																																																																																																							
<div>Application Number: 10/085839</div> <div>Confirmation Number: 1747</div> <div>First Named Applicant: Michael Kozuch</div> <div>Attorney Docket Number: 42P10794</div> <div>Art Unit: 2182</div> <div>Examiner: Unknown Unknown</div> <div>Search string: ( 4247905 or 4347565 or 5319760 or 5437033 or 5511217 or 5522075 or 5737604 or 5854913 or 6075938 or 6182089 or 6272637 or 6314409 or 6374317 or 6397242 ).pn.</div> <div><b>RECEIVED</b> AUG 25 2003 Technology Center 2100</div>																																																																																																																								
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Signature

Examiner Name	Date
<i>John Doe</i>	2-14-06







# Electronic Information Disclosure Statement

## Method and Apparatus for Loading a Trustable Operating System

Application:



10/085839

Confirmation: 1747

Applicant(s): Michael Kozuch

Docket

42390.P10794

Number:

Group Art

2182

Unit:

Examiner:

Unassigned

search string:

( 4037214 or 5079737 or 5386552 or 5628022 or 5737760 or 5757919 or 5852717 or 5872994 or 6055637 or 6085296 or 6125430 or 6148379 or 6192455 or 6249872 or 6272533 or 6292874 or 6301646 or 6321314 or 6339815 or 6339816 or 6499123 or 6505279 or 20010021969 or 20010027527 ).pn.

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### US Patent Documents

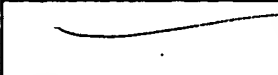
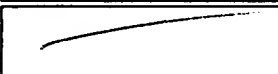
Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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	P15	6272533	2001-08-07		Browne
	P16	6292874	2001-09-18		Barnett
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	P18	6321314	2001-11-20		Van Dyke
	P19	6339815	2002-01-15		Feng, et al.
	P20	6339816	2002-01-15		Bausch
	P21	6499123	2002-12-24		McFarland, et al.
	P22	6505279	2003-01-07		Phillips, et al.

## Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

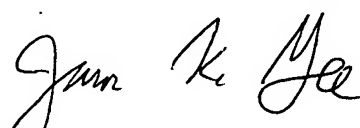
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L	U02	20010027527	2001-10-04		Khidekel, et al.		

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## Signature

Examiner Name	Date
	02-13-06





Substitute for Form 1449A/PTO (Modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/085,839
Filing Date	February 25, 2002
First Named Inventor:	Michael A. Kozuch
Group Art Unit	2182
Examiner Name	Unassigned
Attorney Docket Number	042390P10794

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2

of

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**OTHER ART - NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
JKG	✓	HEINRICH, J., "MIPS R4000 Microprocessor User's Manual, "April 1, 1993, MIPS, MT. VIEW, XP002184449, pp. 61-97.	
	✓	Heinrich, J., "MIPS R4000 Microprocessor User's Manual," 1994, MIPS Technology, Inc., Mountain View, CA, pp. 67-79.	
	✓	"M68040 USER'S MANUAL," 1993, MOTOROLA, INC., pp. 1-20	
	✓	"INTEL 386 DX MICROPROCESSOR 32-BIT CMOS MICROPROCESSOR WITH INTEGRATED MEMORY MANAGEMENT," 12/31/95, INTEL, INC., pp. 32-56; figures 4-14	
	✓	BERG, C., "HOW DO I CREATE A SIGNED APPLET?," DR. DOBB'S JOURNAL, M&T Publ., Redwood City, CA, US, Vol. 22, No. 8, 8/97, pp. 109-111, 122	
	✓	GONG, L., et al., "GOING BEYOND THE SANDBOX: AN OVERVIEW OF THE NEW SECURITY ARCHITECTURE IN THE JAVA DEVELOPMENT KIT 1.2," Proceedings of the Usenix Symposium on the Internet Technologies and Systems, Monterrey, CA 12/97, pp. 103-112.	
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Examiner  
Signature

Ann K. Yee

Date

Considered

02-13-06

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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#8



# Electronic Information Disclosure Statement

## METHOD AND APPARATUS FOR LOADING A TRUSTABLE OPERATING SYSTEM

Application:   
10/085839

Confirmation: 1747

Applicant(s): Michael Kozuch

Docket Number: 042390P10794

Group Art  
Unit:

Examiner: Unassigned

search string: ( 4,278,837 or 4,307,214 or 4,366,537 or 4,521,852 or 5,075,842 or 5,022,077 or 5,255,379 or 5,293,424 or 5,421,006 or 5,459,869 or 5,473,692 or 5,479,509 or 5,568,552 or 5,615,263 or 5,657,445 or 5,717,903 or 5,729,760 or 5,764,969 or 5,796,845 or 5,805,712 or 5,835,594 or 5,844,986 or 5,890,189 or 5,937,063 or 5,978,481 or 5,987,557 or 6,014,745 or 6,058,478 or 6,158,546 or 6,175,925 or 6,178,509 or 6,205,550 or 6,282,651 or 6,282,657 or 20010037450 ).pn.




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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
JK6	P01	4,278,837	1981-07-14		Best		
	P02	4,307,214	1977-07-19		Birney, et al.		
	P03		1982-12-		Heller, et al.		

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	P04	4,521,852	1985-06-04		Gutttag
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	P07	5,255,379	1993-10-19		Melo
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	P11	5,473,692	1995-12-05		Davis
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	P26	5,987,557	1999-11-16		Ebrahim
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	P33	6,282,651	2001-08-28		Ashe
	P34	6,282,657	2001-08-28		Kaplan, et al.

## Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
JK6	U01	20010037450	2001-11-01		Metlitski, et al.		

## Remarks

(Remarks are not for responding to an office action.)

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. \* Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. \* This Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. \* Applicants respectfully requests that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record. \*

### Signature

Examiner Name	Date
<i>Jann K. Ye</i>	02-13-06



Docket No.: 42390.P10794  
GP 2182  
#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Michael A. Kozuch, et al.

Application No.: 10/085,839

Filed: February 25, 2002

For: METHOD AND APPARATUS  
FOR LOADING A TRUSTABLE  
OPERATING SYSTEM

Art Group: 2182

Examiner: unassigned

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Technology Center 2100

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 21, 2002

Date of Deposit

Mark W. Baugher

Name of Person Mailing Correspondence

Signature

Date

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with 37 C.F.R. § 1.97, the Applicants respectfully call to the attention of the examiner the following co-pending applications that may be material to patentability of the above-referenced application.

Pursuant to 37 CFR 1.98, copies of the co-pending applications are enclosed.

JKG ✓ U.S. Application Serial No. 09/823,131, filed on March 30, 2001 by Ellison, et al.,

✓ U.S. Application Serial No. 09/540,608, filed on March 31, 2000 by Ellison, et al.,

✓ U.S. Application Serial No. 09/540,612, filed on March 31, 2000 by Ellison, et al.,

✓ U.S. Application Serial No. 09/822,986, filed on March 30, 2001 by Ellison, et al.,

✓ U.S. Application Serial No. 09/541,108, filed on March 31, 2000 by Herbert, et al.,

✓ U.S. Application Serial No. 09/532,951, filed on March 31, 2000 by Ellison, et al.,

✓ U.S. Application Serial No. 09/618,659, filed on July 18, 2000 by Ellison, et al.,

✓ U.S. Application Serial No. 09/539,344, filed on March 31, 2000 by Ellison, et al.,

- jk6. ✓ U.S. Application Serial No. 09/480,844, filed on March 31, 2000 by Ellison, et al., \_\_\_\_\_
- ✓ U.S. Application Serial No. 09/618,489, filed on July 18, 2000 by Ellison, et al., \_\_\_\_\_
- ✓ U.S. Application Serial No. 09/618,738, filed on July 18, 2000 by Ellison, et al., \_\_\_\_\_
- ✓ U.S. Application Serial No. 09/541,477, filed on March 31, 2000 by Ellison, et al., \_\_\_\_\_
- ✓ U.S. Application Serial No. 09/540,611, filed on March 31, 2000 by Ellison, et al., \_\_\_\_\_
- ✓ U.S. Application Serial No. 09/540,613, filed on March 31, 2000 by Ellison, et al., \_\_\_\_\_
- ✓ U.S. Application Serial No. 09/541,667, filed on March 31, 2000 by Ellison, et al. \_\_\_\_\_

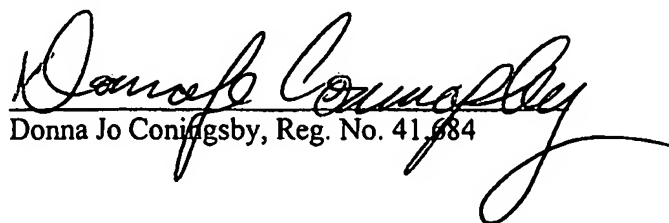
Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

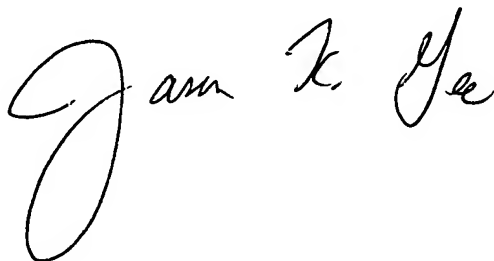
Blakely, Sokoloff, Taylor & Zafman, LLP

Date: 6-21-02

  
Donna Jo Coningsby, Reg. No. 41,684

12400 Wilshire Blvd., 7<sup>th</sup> Floor  
Los Angeles, California 90025  
(503) 684-6200

Reviewed by



Reviewed on

02-13-06

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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

PTO/SB/D8A (08-00)

**(use as many sheets as necessary)**

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of

1

**107085,839**

February 25, 2002

**Michael A. Kozuch**

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unassigned	
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42390P10794

U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Caron K. Yee

**Considered**

02-13-2006

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	unassigned
				Filing Date	February 25, 2002
				First Named Inventor	Michael A. Kozuch
				Group Art Unit	unassigned
				Examiner Name	unassigned
				Attorney Docket Number	42390P10794
Sheet	1	of	2		

[illegible][illegible]

Examiner Signature	<i>Sam H. Lee</i>	Date Considered	02-13-2006
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\*Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \*Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). \*For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application Number	unassigned
				Filing Date	February 25, 2002
				First Named Inventor	Michael A. Kozuch
				Group Art Unit	unassigned
				Examiner Name	unassigned
				Attorney Docket Number	42390P10794
Sheet	2	of	2		

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
Jk6	2	✓ B. Lampson, et al., "Authentication in Distributed Systems: Theory and Practice," ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, Pages 265-310.	
	3	✓ P.C. Clark, et al., "BITS: A Smartcard Protected Operating System," Communications of the ACM, November 1994, Pages 66-94.	
	4	✓ R.P. Goldberg, "Survey of Virtual Machine Research," Computer, June 1974, Pages 34-45.	
	5	✓ R.J. Creasy, "The Origin of the VM/370 Time-Sharing System," IBM J. Res. Develop., Vol. 25, No. 5, September 1981, Pages 483-490.	
J	6	✓ R. Uhlig, "MRL Technical Report PRL-98-311," Intel Corporation MicroComputer Research Labs, September 1998, Pages 1-10.	

Examiner Signature: <i>Jim K. Yee</i>	Date Considered: 02-13-2006
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Examiner: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

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